



Your Trusted **PARTNER**



AUTHORISE PERSON Registration Form

Franchisee /A. P. Name

Franchisee / A. P. Back Office

Franchisee / A. P. Series

City

LIST OF DOCUMENTS REQUIRED FOR THE APPLICATION

AUTHORISED PARTNER			
NSE	BSE	MCX	NCDEX
PAN CARD	PAN CARD	PAN CARD	PAN CARD
ADDRESS PROOF	ADDRESS PROOF	ADDRESS PROOF	ADDRESS PROOF
EDUCATION PROOF (Min 10th Pass)	EDUCATION PROOF (Min 10th Pass)	EDUCATION PROOF (Min 10th Pass)	EDUCATION PROOF (Min 10th Pass)
Undertaking (on Letter Head)	Undertaking 2B(ii) Annexure 2(A) (on Letter Head)	AP-3 Certified by C.A. (on Letter Head)	ANNEXURE - 3
		AP-4 (on Letter Head)	ANNEXURE - 5

EXCHANGE	NSE	BSE	MCX	NCDEX
A.P. REGISTRATION CHARGES	(Rs. 5000 Per Segment + GST Applicable)	(Rs. 4000 + GST Applicable)	(Rs. 2000 + GST Applicable)	(Rs. 1000 + GST Applicable)
AMC CHARGES (Applicable as per exchange)	Rs. 5000 + GST	Rs. 4000 + GST	Rs. 1000 + GST	Rs. 1000 + GST

CHECKLIST

(TO BE FILLED BY HEAD OFFICE)

No.	Type	Head Office	
		YES	NO
1.	Proof of Identity ☐ Voter ID ☐ Passport ☐ PAN ☐ Driving License ☐ Aadhar Card		
2.	How is the identity of the AP verified? ☐ AP visited the office ☐ Branch personal visited the AP		
3.	Address Proof (Residential) ☐ Aadhar Card ☐ Voter ID ☐ Driving License ☐ Passport ☐ Telephone Bill ☐ Electricity Bill ☐ Bank Passbook ☐ Latest Bank Statement		
4.	Address Proof (Office) ☐ Telephone Bill ☐ Rent Agreement ☐ Registry Copy ☐ Electricity Bill ☐ Bank Passbook ☐ Latest Bank Statement		
5.	Bank Proof ☐ Copy of Bank Statement/Pass Book ☐ A cancelled cheque leaf		
6.	Does address proof match with the residential address given by AP		
7.	Has the address proof of the AP verified		
8.	All the documents collected are verified with the original		
9.	Form should be complete in all respects		
10.	Processing fees received		
11.	Security Deposit received		

(AP refer to Authorise Person)

(AMC refer as annual maintenance charge)

**RUDRA**

रुद्रा है तो मुद्रा है

Corporate Office :
Rudra House, 15/63, 2nd Floor, Civil Lines
Kanpur - 208 001 | Tel: 0512-6711000 - 002
Email: contactus@rudrashares.com | www.rudrashares.com

RUDRA SHARES & STOCK BROKERS LTD.

(Member : NSE, BSE, MCX, NCDEX, DP : CDSL)

Photograph
Sign across
the Photograph

(1)

AUTHORISE PERSON REGISTRATION FORM**(A) DETAILS OF AUTHORISE PERSON**

AP Name																				
AP Trade Name (If any)																				
EXCHANGE/SEGMENT	☐ NSE CM		☐ NSE FO		☐ NSE CDS		☐ NSE COM		☐ BSE CM		☐ BSE FO		☐ BSE CDS		☐ BSE COM		☐ MCX		☐ NCDEX	
Type of Registration Authorised Person:	☐ NSE CM		☐ NSE FO		☐ NSE CDS		☐ NSE COM		☐ BSE CM		☐ BSE FO		☐ BSE CDS		☐ BSE COM		☐ MCX		☐ NCDEX	
Type	☐ Individual		☐ HUF		☐ Proprietorship		☐ LLP		☐ Company		☐ Partnership		☐ Others (Pl. Specify) _____							
Date of Birth/Date of Incorp.	D	D	M	M	Y	Y	Y	Y	PAN											
Father's/Spouse Name																				

Office Address (PL. ATTACH SELF CERTIFIED COPY)

Address																				
City																				
State																				
Office Ph. No.																				
E-mail																				
Alternate Mob. No.																				

Residential Address / Permanent Address PRESENT (PL. ATTACH SELF CERTIFIED COPY)

Address																				
City																				
State																				

Bank Detail (PL. ATTACH SELF CERTIFIED COPY ALONG WITH CANCEL CHEQUE)

A/c No.																				
A/c Type	☐ Current		☐ Savings																	
Bank Name																				
Branch Address																				
IFSC Code																				

Occupation (Tick whichever is applicable)	☐ Employed ☐ Self Employed ☐ Business ☐ Professional ☐ Housewife ☐ Others																															
IF EMPLOYED/IF SELF EMPLOYED/BUSINESS/PROFESSIONAL/OTHERS																																
Name of Employer																																
Office Address																																
City																					Pin Code											
State																					Country											
Telephone No.																					Fax No.											
Qualification (Please attach proof)																																
☐ Matriculation ☐ HSC ☐ Graduate ☐ Post Graduate																																
☐ Other (Please Specify) _____																																
Experience																																
Capital Market	M	M	Y	Y	Commodity Market :	M	M	Y	Y																							
Currency Market	M	M	Y	Y																												
Other (Pls Specify)																																
Retail																					HNI											
Corporate																					Other Pls. Specify)											
Volume (per day in Cr.) (Expected / Current)																																
Delivery (CM)																					Intraday (CM)											
Derivative (FO)																					Commodities											
Currency																																
Previous Broker's Name (Pl. obtain NOC, If any)																																
NISM Certificate Details																NSE F&O/BSE F&O Reg. No. : _____ Name _____ F'Name : _____ Validity : __/__/__ PAN : _____																
																NISM/Others Reg. No. : _____ Name _____ F'Name : _____ Validity : __/__/__ PAN : _____																
Details of any action taken by SEBI/FMC or any other authority																																

Signature _____

© COMMERCIAL TERMS & CONDITIONS

Security Deposit (Interest Free)	₹1,00,000/-
Exposure Terms (Limit)	As per Exchange Policy
F&O Margin Cash & Non Cash %	As per Exchange Policy
Terminal ID Charges	Chargeable
Terminal ID (Application Based)	₹500/Month+GST
Telecom/ CRM Charge	₹1000/ Month + GST
Associate Sharing/Payout Equity	
	☐ Percentage OR ☐ Fixed
	ASSOCIATE PERCENTAGE SHARING :
	Equity & Currency: * * (%wise) Intraday : % Delivery : % Futures : % Minimum Currency : % Per Share : Paise Commodity : % Per Share : Paise
Associate Sharing/Payout Commodity	MCX : % NCDEX : %

Associate Sharing/Payout Equity	FIXED :
	Equity :
	Intraday : Per Crore Delivery : Per Crore Futures : Per Crore Options : Per Lot Currency : Per Lot MPS : Paise Commodity : Per Crore MPS : Paise
Associate Sharing/Payout Commodity	MCX : Per Crore NCDEX : Per Crore

(D) OTHER DETAILS
(B) OPERATIONAL DETAILS

Operation in Charge												Relation											
Name												Age											
Edu. Qualification												NISM Certified :	☐ Yes	☐ No									
Experience												Mobile No.											
Telephone No.												Fax											
Email ID																							
Number of Staff												Dealer											
Office Space (Mandatory (Pls. attach proof, for all the location												☐ Rented ☐ Owned	Area : _____ Sq.ft.										
Mode of Connectivity	☐ Internet																						

* Minimum Retention Rs 5000/-

* Minimum Per Share Brokerage

Signature _____



Fund Payout to Client	On Demand Only
Communication (Contact Notes/Ledger/ Reporting/All others)	Settlement of Clients as per exchange applicable: Digital Only
A/c Opening Charges	AS PER CURRENT TARIFF APPLICABLE

Date of Training (Trading/Back Off. Etc.)	DD	MM	YYYY			Date of Commencement of Business	DD	MM	YYYY		
Sign Board Size						Visiting Card Quantity					

APPLICATION, DECLARATION & UNDERTAKING

I/We hereby declare that all the above information and particulars given by me/us in this application are true and fair to the best of my/our knowledge and belief. I/We agree to immediately inform you if there is any change in the information given in this application. I/We also declare and agree that if any of the above statement is found to be incorrect or false or any information or particulars have been suppressed or omitted there from. I/We am/are liable to be debarred from doing business in the Cash/Derivative/Commodity Segments. I/We also agree to furnish such further information as you or the Exchange may require from me/ us from time to time and I/We agree that if I/We fail to give such information, you shall have the right to cancel my/ our registration and you or Exchange shall have the right to debar, me/ us from doing business in the Cash/Derivative/Commodity Segments to the Exchanges. I/We have read and understood the above commercial terms and conditions of RUDRA SHARES & STOCK BROKERS LTD. and I/We agree to abide by the rules and regulations of RUDRA SHARES & STOCK BROKERS LTD. that may be in force from time to time.

Note: Compulsory quarterly / monthly settlement of Client as per SEBI norms

Compulsory amount subject to scheme Rs. 1000/- minimum required at the time of Account Opening

Introduced by: _____

[illegible]

Date _____

Name of Business Associates

Signature

FOR OFFICE USE ONLY

Series allotted		A.P. code allotted	
	Name	Signature	
Verified by			
Approved by			



RUDRA

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RUDRA SHARES & STOCK BROKERS LTD.

(Member : NSE, BSE, MCX, NCDEX, DP : CDSL)

EAST INDIA

Bihar

RUDRA SHARES & STOCK BROKERS LTD
Rudra Shares, 5th Floor Room No. 702
Ashiyana Chamber, Exhibition Road
Patna - 800001 Tel : 0612-2500048
Mob : 0 6386661747

West Bengal

RUDRA SHARES & STOCK BROKERS LTD
46, B.B Ganguly Street
1st Floor, Room No. 8
Kolkata -700012 Mob: 8697721878

WEST INDIA

Maharashtra

RUDRA SHARES & STOCK BROKERS LTD
B/32 Patel Shopping Center Behind
Raj Mahal Hotel Chandavarkar Road
Borivali West **Mumbai** - 400092 Mob: 9820944966

Gujarat

RUDRA SHARES & STOCK BROKERS LTD
404, Ashwamegh Elegance
Bhudarpura Road Hirabaug
Ambawadi, **Ahmedabad** - 380006
Mob: +91 9328950889

NORTH INDIA

Delhi

RUDRA SHARES & STOCK BROKERS LTD
6 floor, New Delhi House,
Office no, : 613, Barakhamba Rd,
Connaught Place, **Delhi** 110001
Mob: 06386661733

Uttarakhand

RUDRA SHARES & STOCK BROKERS LTD
4 Ground Floor, Shiva Palace
57/19 Rajpur Road
Dehradun - 248 001
Mob: .+91 7499185333

Rajasthan

RUDRA SHARES & STOCK BROKERS LTD
Crystall Mall, 203 Second Floor,
Jai Singh Highway, Bani Park
Jaipur - 302016 Mobile: 9664039772

NORTH INDIA

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD
Rudra House, PLOT No.15 16
New Berry Road, **Lucknow** - 226001
(UP) Tel: 0522-4313101 Mob: 7880390011

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD
Bansal GS, B 37/193 A,
Birdopur, **Varanasi**-221010
Mob:06386661709

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD
54 Civil Lines, 1st Floor
Ayub Khan Chauraha
Bareilly - 243001 Mob:06386661701

Corporate Office

Rudra House, 15/63, Civil Lines, Kanpur- 208 001

Ph: +91-512-6711000 (30 Lines)

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