



RUDRA SHARES & STOCK BROKERS LTD.

(Formerly Known as Gigantic Securities Ltd.)

MEMBER: NSE, BSE, MCX-SX, DP : CDSL

Client Registration Form

SR. NO.	<input type="text"/>	PAN	<input type="text"/>	BRANCH CODE	<input type="text"/>
NAME	<input type="text"/>	GROUP CODE	<input type="text"/>		
UCC	<input type="text"/>	DP ID	1 2 0 6 1 4 0 0	BO ID	<input type="text"/>



Formerly Known as

Gigantic Securities Ltd.

INSTRUCTIONS/CHECK LIST FOR FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients, including Promoters/Partners /Karta/Trustees and whole time directors and persons authorized to deal in securities on behalf of company/firm/others.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIO Card/OCI Card and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/ military officers, senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity (POI): - List of documents admissible as Proof of Identity:

1. Unique Identification Number (UID) (Aadhaar)/ Passport/ Voter ID card/ Driving license.
2. PAN card with photograph.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): - List of documents admissible as Proof of Address:

- (*Documents having an expiry date should be valid on the date of submission.)
1. Passport/ Voters Identity Card/ Ration Card/ Registered Lease or Sale Agreement of Residence/ Driving License/ Flat Maintenance bill/ Insurance Copy.
 2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
 3. Bank Account Statement/Passbook -- Not more than 3 months old.
 4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
 5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/ Gazetted Officer/Notary, public/Elected representatives to the Legislative Assembly/ Parliament/Documents issued by any Govt. or Statutory Authority.
 6. Identity card/ document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
 7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
 8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

- (Sufficient documentary evidence in support of such claims to be collected.)
1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
 2. Investors residing in the state of Sikkim.
 3. UN entities/multilateral agencies exempt from Pay-ing taxes/filing tax returns in India.
 4. SIP of Mutual Funds upto Rs 50, 000/- p.a.
 5. In case of institutional clients, namely, FIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/ Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy /Consulate General in the country where the client resides are permitted to attest the documents

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below:

Types of Entity	Documentary requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of partnership deed. • Photograph, POI, POA, PAN of Partners. • Certificate of registration (for registered partnership firms only). • Authorised signatories list with specimen signatures.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of Trust deed. • Photograph, POI, POA, PAN of Trustees. • Certificate of registration (for registered trust only). • List of trustees certified by managing trustees/CA.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Bank pass-book/bank statement in the name of HUF. • Deed of declaration of HUF/ List of coparceners. • Photograph, POI, POA, PAN of Karta.
Unincorporated association or a body of individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorized signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorized signatories list with specimen signatures.
Foreign institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorized signatories list with specimen signatures • Self-certification on letterhead. • Authorized signatories list with specimen signatures
Army/ Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorized signatories list with specimen signatures
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • List of Managing Committee members. • True copy of Society Rules and Bye Laws certified by the

INSTRUCTIONS/ CHECK LIST (for filling Additional information related to Trading Account)

1. Additional documents in case of trading in derivatives segments - illustrative list:

Copy of ITR Acknowledgment	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of assets.	Self declaration with relevant supporting documents.

*In respect of other clients, documents as per risk management policy of the stock broker need to be provided by the client from time to time.

2. Copy of cancelled cheque leaf/ pass book/bank statement specifying name of the constituent, MICR Code or/and IFSC Code of the bank should be submitted.
 3. Demat master or recent holding statement issued by DP bearing name of the client.
 4. For individuals:
 - a. Stock broker has an option of doing 'in-person' verification through web camera at the branch office of the stock broker/sub-broker's office.
 - b. In case of non-resident clients, employees at the stock broker's local office, overseas can do in-person
 5. For non-individuals:
 - a. Form need to be initialized by all the authorized signatories.
 - b. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures.
- verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non-resident clients by the stock broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

ACCOUNT OPENING KIT

INDEX

S.No.	Name of the Document	Brief Significance of the Documents	P. No.	Booklet
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MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

1.	Know Your Client Application Form	A. KYC form for Individual	1-2	A
		B. KYC form for Non-Individual	3-4	A
		C. Annexure farming Part of KYC application form for Non-Individual	5-6	A
2.	Additional Information related to Trading Account	Document captures the additional information about the constituent relevant to trading account	7-9	A
2.	Tariff Sheet	Document detailing the rate/amount of brokerage and other charges levied on the client for trading on the stock exchange(s)	10	A
3.	Additional information related to Demat Account	A. Document capturing additional information for Demat Account (Individual/ Non-individual) B. Terms & Conditions of SMS Alert - Annexure 2.4 C. Schedule of Charges for Depository Services D. Option Form For Issue of DIS Booklet	11-18	A

RIGHTS AND OBLIGATIONS, RISK DISCLOSURE DOCUMENT (RDD), GUIDANCE NOTE, POLICIES AND PROCEDURES

4	Rights and Obligations	Document stating the Rights & Obligations of Stock Broker/Trading Member, Sub-Broker and Client for trading on exchanges (including additional Rights & Obligations in case of Internet/Wireless Technology Based Trading)	1-4	B
5.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	5-7	B
6.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors	8	B
7.	Policies and Procedures	Document describing significant policies and procedures of the Stock Broker	9-12	B
8.	Rights and Obligations	Rights and Obligations of Beneficial Owner and Depository Participant (Annexure-2.7)	13-14	B
9.	Terms & Conditions for availing transaction using secured texting (trust) (Annexure 2.6)		15-16	B

NON MANDATORY

10.	Running Account Authorisation	Document authorizing Stock Broker/Trading Member to maintain the running account of funds and securities in the manner mentioned therein	19	A
12.	Authority Letter to Send Documents Electronically	Authorisation to send Contract Note, Statement of funds & Securities, Client registration documents etc. electronically	20	A
13.	Request for subscribe SMS alert Service	Subscription for SMS alert Service	20	A
14.	Authorised Letter	Maintenance of Running Account Basis / Inter Segment Adjustments / RTGS /NEFT Payout Facility	21	A
15.	Verbal Order Acceptance Authorisation	Verbal Order Acceptance Authorisation	22	A
16.	Authorisation	Authorisation in case of failure to pay funds or deliver securities	22	A
17.	Pledge Authorisation	Pledge Authorisation	23	A
18.	Standing Instruction	Standing instruction to maintain records/books of accounts	23	A
19.	Authorisation For Lien & Set-Off	Authorisation for Lien & Set off	24	A
20.	Authorisation for Debiting DP Operating Charges	Authorisation for Debiting DP Operating Charges	25	A
21.	Authorisation	Authorisation For Fund/Securities Transfer/adjustment in our Family Accounts	25	A
21.	Addendum	Addendum to the Client Registration form / Key Information	26	A
21.	Power of Attorney	Optional Limited Purpose power of attorney	27	A
21.	Acknowledgement	Acknowledgement from client	29	A

Member Information



RUDRA SHARES & STOCK BROKERS LTD.

(Member : BSE Capital, NSE Capital, BSE F&O, NSE F&O, NSE CD and MCX-SX)

(Clearing No: BSE-3269, NSE-13336, MCX-SX-59400)

SEBI Regn. No. IN-DP-CDSL-518-2009, DP ID - 12061400

Exchange	Segment	SEBI Regn No.	Regn Date
NSE	CASH	INB231333635	03/03/2009
NSE	F&O	INF231333635	03/03/2009
NSE	CD	INE231333635	03/03/2009
BSE	CASH	INB011327138	13/01/2009
BSE	F&O	INF011333632	30/05/2011
MCX-SX	CASH	INB261333638	09/01/2013
MCX-SX	F & O	INF261333638	09/01/2013
MCX-SX	CD	INE261324334	18/12/2008

- ▶ **Regd. Office** : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092
- ▶ **Corp. Office** : Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (U.P.)
Ph.: +91-512-6711000 (30 Lines), 3913928 • Fax: +91-512-3913929
E-mail: contactus@rudrashares.com • Website: www.rudrashares.com

▶ **Compliance Officer's Details**

Name : Mr. Sumit Kumar Gupta
Phone No. : +91-512-6711047
E-mail Id : compliance@rudrashares.com, sumit.gupta@rudrashares.com

▶ **CEO's Details**

Name : Mr. Kishore Kumar Vakil
Phone No. : +91-512-6711000
E-mail Id : kishor.vakil@rudrashares.com

Clearing Member Details: Globe Capital Limited

Regd. Office:609, Anshal Bhawan, 16, KG Marg, New Delhi-110 001

NSE Currency Derivatives	NSE - INE230663732
BSE Future & Options	BSE - INF010663731
MSX-SX Currency Derivative	MCX - SX - INE260663732

For any grievance/dispute please contact **RUDRA SHARES & STOCK BROKERS LTD.** at the above address or email id- investorcare@rudrashares.com and Phone No. +91-512-6711047, 3071648 In case not satisfied with the response, please contact the concerned exchange(s) at

Exchange Name

National Stock Exchange of India Ltd.
Bombay Stock Exchange Ltd.
MCX Stock Exchange Ltd.
Central Depository Services (I) Ltd.

Email id

ignse@nse.co.in
is@bseindia.com
investorcomplaints@mcx-sx.com
complaints@cdslindia.com

Phone No.

022-26598190
022-22728097
022-67319000-8933
1800 200 5533



Formerly Known as

Gigantic Securities Ltd.

जाइगैटिक

**Know Your Client (KYC)
Application Form (For Individuals Only)**



Application No. :

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in support identification document).

Name

Father's/Spouse Name

2. Gender Male Female B. Marital Status Single Married C. Date of Birth

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 /

3. Nationality Indian Other (Please specify) _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any : _____

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar) Passport Voter ID Driving Licence Others _____ (Please see guideline 'D' overleaf)

**PHOTOGRAPH
of
SOLE / FIRST HOLDER**

Please affix
the recent passport
size photograph and
sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City/Town/Village		Pin Code
State	Country	

2. Contact Details

Tel. (Off.) (ISD) (STD)	Tel. (Res.) (ISD) (STD)
Mobile (ISD) (STD)	Fax (ISD) (STD)
E-Mail Id.	

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please Specify) _____

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

 /

 /

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City/Town/Village		Pin Code
State	Country	

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

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 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please Specify) _____

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

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 /

6. Any other information _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place

 Date

SIGNATURE OF APPLICANT

Signature

FOR OFFICE USE ONLY

IPV Done on

 /

 /

AMC/Intermediary name OR code
RUDRA SHARES & STOCK BROKERS LTD.
 (Originals Verified) Self Certified Document copies received
 (Attested) True copies of documents received
 Main Intermediary _____

Seal/Stamp of the intermediary should contain

Staff Name
 Designation
 Name of the Organization
 Signature
 Date

Seal/Stamp of the intermediary should contain

Staff Name
 Designation
 Name of the Organization
 Signature
 Date

INSTRUCTIONS / CHECK LIST FORM FILLING KYC FORM

A. IMPORTANT POINTS:

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7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCIC overseas address proof is mandatory.
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B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
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License/Flat Maintenance bill/Insurance Copy.

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4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/ Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
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(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/ling tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
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Know Your Client (KYC) Application Form (For Individuals Only)



Application No. :

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in support identification document).

Name

Father's/Spouse Name

2. Gender Male Female B. Marital Status Single Married C. Date of Birth

 /

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3. Nationality Indian Other (Please specify) _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any : _____

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar) Passport Voter ID Driving Licence Others _____ (Please see guideline 'D' overleaf)

**PHOTOGRAPH
of
SECOND HOLDER**

Please affix
the recent passport
size photograph and
sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City/Town/Village		Pin Code
State	Country	

2. Contact Details

Tel. (Off.) (ISD) (STD)	Tel. (Res.) (ISD) (STD)
Mobile (ISD) (STD)	Fax (ISD) (STD)
E-Mail Id.	

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
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*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

 /

 /

6. Any other information _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place

 Date

SIGNATURE OF APPLICANT

FOR OFFICE USE ONLY

IPV Done on

 /

 /

AMC/Intermediary name OR code

RUDRA SHARES & STOCK BROKERS LTD.

(Originals Verified) Self Certified Document copies received

(Attested) True copies of documents received

Main Intermediary

Seal/Stamp of the intermediary should contain

Staff Name
Designation
Name of the Organization
Signature
Date

Seal/Stamp of the intermediary should contain

Staff Name
Designation
Name of the Organization
Signature
Date

INSTRUCTIONS / CHECK LIST FORM FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
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10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers , senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving

License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/ Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/ling tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs for all , Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

**Know Your Client (KYC)
Application Form (For Individuals Only)**



Application No. :

Please fill in ENGLISH and in BLOCK LETTERS

A. Identity Details (please see guidelines overleaf)

1. Name of Applicant (As appearing in support identification document).

Name

Father's/Spouse Name

2. Gender Male Female B. Marital Status Single Married C. Date of Birth

 /

 /

3. Nationality Indian Other (Please specify) _____

4. Status Please tick (✓) Resident Individual Non Resident Foreign National (Passport Copy Mandatory for NRIs & Foreign Nationals)

5. PAN

 Please enclose a duly attested copy of your PAN Card

Aadhaar Number, if any : _____

6. Proof of Identity submitted for PAN exempt cases Please Tick (✓)

UID (Aadhaar) Passport Voter ID Driving Licence Others _____ (Please see guideline 'D' overleaf)

**PHOTOGRAPH
of
THIRD HOLDER**

Please affix
the recent passport
size photograph and
sign across it

B. Address Details (please see guidelines overleaf)

1. Address for Correspondence

City/Town/Village		Pin Code
State	Country	

2. Contact Details

Tel. (Off.) (ISD) (STD)	Tel. (Res.) (ISD) (STD)
Mobile (ISD) (STD)	Fax (ISD) (STD)
E-Mail Id.	

3. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please Specify) _____

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

 /

 /

4. Permanent Address of Resident Applicant if different from above B1 OR Overseas Address (Mandatory) for Non-Resident Applicant

City/Town/Village		Pin Code
State	Country	

5. Proof of address to be provided by Applicant. Please submit ANY ONE of the following valid documents & tick (✓) against the document attached.

Passport Ration Card Registered Lease/Sale Agreement of Residence Driving License Voter Identity Card *Latest Bank A/c Statement/Passbook
 *Latest Telephone Bill (only Land Line) *Latest Electricity Bill *Latest Gas Bill Others (Please Specify) _____

*Not more than 3 Months old. Validity/Expiry date of proof of address submitted

 /

 /

6. Any other information _____

DECLARATION

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.

Place

 Date

SIGNATURE OF APPLICANT

Signature

FOR OFFICE USE ONLY

IPV Done on

 /

 /

AMC/Intermediary name OR code
RUDRA SHARES & STOCK BROKERS LTD.
 (Originals Verified) Self Certified Document copies received
 (Attested) True copies of documents received
 Main Intermediary _____

Seal/Stamp of the intermediary should contain

Staff Name
 Designation
 Name of the Organization
 Signature
 Date

Seal/Stamp of the intermediary should contain

Staff Name
 Designation
 Name of the Organization
 Signature
 Date

INSTRUCTIONS / CHECK LIST FORM FILLING KYC FORM

A. IMPORTANT POINTS:

1. Self attested copy of PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self- attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCIC overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers , senior executives of state owned corporations, important political party officials, etc.

B. Proof of Identity(POI): List of documents admissible as Proof of Identity:

1. PAN card with photograph. This is a mandatory requirement for applicants except those who are specifically exempt from obtaining PAN (listed in Section D).
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

C. Proof of Address (POA): List of documents admissible as Proof of Address: (*Documents having an expiry date should be valid on the date of submission.)

1. Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving

License/Flat Maintenance bill/Insurance Copy.

2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/ Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostilled or consularised) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

D. Exemptions/clarifications to PAN

(*Sufficient documentary evidence in support of such claims to be collected.)

1. In case of transactions undertaken on behalf of Central Government and/or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/ling tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCIs for all , Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

E. List of people authorized to attest the documents:

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial/Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

INSTRUCTIONS / CHECK LIST FORM FILLING KYC FORM

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3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals,(allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCIC overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers , senior executives of state owned corporations, important political party officials, etc.

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3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

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2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/ Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly/Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
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2. Investors residing in the state of Sikkim.
3. UN entities/multilateral agencies exempt from paying taxes/ling tax returns in India.
4. SIP of Mutual Funds upto Rs 50,000/- p.a.
5. In case of institutional clients, namely, FIIs, Mfs, VCFs, FVCI/snt for all , Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

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2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy/Consulate General in the country where the client resides are permitted to attest the documents.

F. In case of Non-Individuals, additional documents to be obtained from non-individuals, over & above the POI & POA, as mentioned below :

Type of Entity	Documentary Requirements
Corporate	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Copy of latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the company secretary/Whole time director/MD (to be submitted every year). • Photograph, POI, POA, PAN and DIN numbers of whole time directors/two directors in charge of day to day operations. • Photograph, POI, POA, PAN of individual promoters holding control - either directly or indirectly. • Copies of the Memorandum and Articles of Association and certificate of incorporation. • Copy of the Board Resolution for investment in securities market. • Authorised signatories list with specimen signatures.
Partnership Firm	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered partnership firms only). • Copy of partnership deed. • Authorised signatories list with specimen signatures. • Photograph, POI, POA, PAN of Partners.
Trust	<ul style="list-style-type: none"> • Copy of the balance sheets for the last 2 financial years (to be submitted every year). • Certificate of registration (for registered trust only). • Copy of Trust deed. • List of trustees certified by managing trustees/CA. • Photograph, POI, POA, PAN of Trustees.
HUF	<ul style="list-style-type: none"> • PAN of HUF. • Deed of declaration of HUF/ List of coparceners. • Bank pass-book/bank statement in the name of HUF • Photograph, POI, POA, PAN of Karta.
Unincorporated Association or a Body of Individuals	<ul style="list-style-type: none"> • Proof of Existence/Constitution document. • Resolution of the managing body & Power of Attorney granted to transact business on its behalf. • Authorised signatories list with specimen signatures.
Banks/Institutional Investors	<ul style="list-style-type: none"> • Copy of the constitution/registration or annual report/balance sheet for the last 2 financial years. • Authorised signatories list with specimen signatures.
Foreign Institutional Investors (FII)	<ul style="list-style-type: none"> • Copy of SEBI registration certificate. • Authorised signatories list with specimen signatures.
Army/Government Bodies	<ul style="list-style-type: none"> • Self-certification on letterhead. • Authorised signatories list with specimen signatures.
Registered Society	<ul style="list-style-type: none"> • Copy of Registration Certificate under Societies Registration Act. • List of Managing Committee members. • Committee resolution for persons authorised to act as authorised signatories with specimen signatures. • True copy of Society Rules and Bye Laws certified by the Chairman/Secretary.

Details of Promoters/Partners/Karta/Trustees and whole time directors forming a part of Know Your Client (KYC) Application Form for Non-Individuals

Name of Applicant _____ PAN of the Applicant _____

1. Name _____
 PAN _____ DIN (For Directors) / UID (for Others) _____
 Residential /Registered Address _____

 City/Town/Village _____ Pin Code _____
 State _____ Country _____
 Relationship with Applicant (i.e. promoters, whole time directors etc.) _____
 Whether Politically Exposed PEP (Politically Exposed Person) RPEP (Related to Politically Exposed Person) NO

Please affix the recent passport size photograph and sign across it

2. Name _____
 PAN _____ DIN (For Directors) / UID (for Others) _____
 Residential /Registered Address _____

 City/Town/Village _____ Pin Code _____
 State _____ Country _____
 Relationship with Applicant (i.e. promoters, whole time directors etc.) _____
 Whether Politically Exposed PEP (Politically Exposed Person) RPEP (Related to Politically Exposed Person) NO

Please affix the recent passport size photograph and sign across it

3. Name _____
 PAN _____ DIN (For Directors) / UID (for Others) _____
 Residential /Registered Address _____

 City/Town/Village _____ Pin Code _____
 State _____ Country _____
 Relationship with Applicant (i.e. promoters, whole time directors etc.) _____
 Whether Politically Exposed PEP (Politically Exposed Person) RPEP (Related to Politically Exposed Person) NO

Please affix the recent passport size photograph and sign across it

4. Name _____
 PAN _____ DIN (For Directors) / UID (for Others) _____
 Residential /Registered Address _____

 City/Town/Village _____ Pin Code _____
 State _____ Country _____
 Relationship with Applicant (i.e. promoters, whole time directors etc.) _____
 Whether Politically Exposed PEP (Politically Exposed Person) RPEP (Related to Politically Exposed Person) NO

Please affix the recent passport size photograph and sign across it

5. Name _____
 PAN _____ DIN (For Directors) / UID (for Others) _____
 Residential /Registered Address _____

 City/Town/Village _____ Pin Code _____
 State _____ Country _____
 Relationship with Applicant (i.e. promoters, whole time directors etc.) _____
 Whether Politically Exposed PEP (Politically Exposed Person) RPEP (Related to Politically Exposed Person) NO

Please affix the recent passport size photograph and sign across it



Name & Signature of the Authorised Signatory(ies)

Date / /



TRADING ACCOUNT RELATED DETAILS

A. BANK ACCOUNT(S) DETAILS

For Individuals & Non-individuals

Bank Name	Branch Address	Bank Account no.	Account Type: Saving/Current/ Others-In case of NRI/NRE/NRO	MICR Number	IFSC code

Authority to remit funds pay out clients bank account electronically into

Yes

No

I / We request you to deposit my/our payout in respect of my/our transactions directly to above mentioned bank account (provided such facility is available) or any other bank account which I/We may intimate from time to time.

B. DEPOSITORY ACCOUNT(S) DETAILS

Depository Participant Name	Depository Name (NSDL/CDSL)	Beneficiary Name	DP ID	Beneficiary ID (BO ID)

C. TRADING PREFERENCES

*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client.

Exchanges	Cash Segment	F&O Segment	Currency Derivative Segment
BSE			
NSE			
MCX-SX			

If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be taken from the client by the stock broker.

D. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock exchange/any other authority against the applicant/constituent or its Partners/promoters/whole time directors/authorized persons in charge of dealing in securities during the last 3 years:

E. DEALINGS THROUGH SUB-BROKERS / Authorised Person (AP) AND OTHER STOCK BROKERS

If client is dealing through the sub-broker / AP, provide the following details:

Sub-broker's / AP Name:

SEBI Registration number / Exchange No :

Registered office address:

Ph: Fax: Website:

Whether dealing with any other stock broker/sub-broker/ AP (if case dealing with multiple stock brokers/subbrokers / AP, provide details of all)

Name of stock broker:

Name of Sub-Broker / AP, if any:

Client Code: Exchange:

Details of disputes/dues pending from/to such stock broker/sub- broker:

.....

F. OTHER DETAILS

- 1. Gross Annual Income Details (please specify): Income Range per annum: Below Rs 1 Lac 1-5 Lac 5-10 Lac 10-25 Lac 25 Lacs to 1 Crore > 1 Crore
- 2. Net-worth as on (date) (.....) (Net worth should not be older than 1 year)
(Net worth is mandatory in case of non individual)
- 3. Occupation (please tick any one and give brief details): Private Sector Public Sector Government Service Business Professional Agriculturist Retired Housewife Student Others _____
- 4. Please tick, if applicable: Politically Exposed Person (PEP) Related to a Politically Exposed Person (PEP)
(Fill Defination of PEP, Please refer guideline)
- 5. Any other information : _____

G. ADDITIONAL DETAILS

Whether you wish to receive physical contract note or Electronic Contract Note (ECN) (please specify) :
.....

Specify your Email id, if applicable:
.....

Whether you wish to avail of the facility of internet trading/ wireless technology (please specify) :
.....

Number of years of Investment/Trading Experience :

In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others:
.....

Any other information:

H. INTRODUCER DETAILS (optional)

Name of the Introducer:

Status of the Introducer: Sub-broker/Remisier/Authorized Person/Existing Client/Others, please specify

Address and phone no. of the Introducer:
.....
.....

Signature of the Introducer:

I. NOMINATION DETAILS (for Individual Only)

I/We wish to nominate I/We do not wish to nominate

Name of the Nominee: Relationship with the Nominee:

PAN No of Nominee : Date of Birth of Nominee:

Address and phone no. of the Nominee:
.....
.....

If Nominee is a minor, details of guardian :

Name of guardian:

Address and phone no. of Guardian:

Signature of guardian :

WITNESSES (Only applicable in case the account holder has made nomination)

Name : Name :
 Signature : Signature:
 Address : Address :

DECLARATION

1. I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/we are aware that I/we may be held liable for it.
2. I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the stock broker and the tariff sheet.
3. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website, if any.

Place

Date


 Signature of Client/ (all) Authorized Signatory (ies)

FOR OFFICE USE ONLY

UCC Code allotted to the Client : _____

Date

	Documents verified with Originals	Client Interviewed By	In-Person Verification done by
Name of the Employee			
Employee Code			
Designation of the Employee			
Date			
Signature			

I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the nonmandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available on my/our website, if any, for the information of the clients.

for RUDRA SHARES & STOCK BROKERS LTD.


 Signature of the Authorised Signatory

Seal/Stamp of the stock broker

TARIFF SHEET

Rudra Shares & Stock Brokers Ltd.(Formerly known as Gigantic Securities Ltd.).....
(*Scheme details as per executed addendum)

CASH SEGMENT

BROKERAGE SLAB	DELIVERY (RS.)	JOBGING (RS.)
Fixed		
Minimum		

DERIVATIVE SEGMENT

BROKERAGE SLAB	Fixed Brok %	Mini Brok %	EXPIRY BROK %	MINI BROK ON LOT
FUTURE				
OPTION				
CURRENCY DERIVATIVES				

(* Minimum brokerage of Rs. 20 per contract note will be charged.

(* Delay Payment charges (DPC) will be charged @ 18% after T + 2+ 5 with effect from T + 1 day.

(* All Government & Statutory charges / levis will be charged on actual basis.

Place

Date



Client's Signature

ADDITIONAL KYC FORM FOR OPENING A DEMAT ACCOUNT



RUDRA SHARES & STOCK BROKERS LTD.

(Formerly known as Gigantic Securities Ltd.)

DP : CENTRAL DEPOSITORY SERVICES (INDIA) LIMITED | SEBI REGN. NO. IN-DP-CDSL-518-2009, DP ID - 12061400

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

Corp. Office : Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (Uttar Pradesh)

Ph.: +91-512-6711000 (30 Lines), 3913928 • **Fax:** +91-512-3913929 • **Website:** www.rudrashares.com

(TO BE FILLED BY THE DEPOSITORY PARTICIPANT)

Application No.		Date	D	D	M	M	Y	Y	Y	Y							
DP Internal Reference No.																	
DP ID	1	2	0	6	1	4	0	0	Client ID								

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/our name as per the following details

HOLDERS DETAILS

Sole/First Holder Name	Search Name	PAN															
		UID															
Second Holder Name		PAN															
		UID															
Third Holder Name		PAN															
		UID															

Name *

* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

TYPE OF ACCOUNT (Please tick whichever is applicable)

STATUS	SUB - STATUS								
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident	<input type="checkbox"/> Individual - Director	<input type="checkbox"/> Individual Director's Relative						
	<input type="checkbox"/> Individual HUF / AOP	<input type="checkbox"/> Individual Promoters	<input type="checkbox"/> Minor						
	<input type="checkbox"/> Individual Margin Trading A/c (MANTRA)	<input type="checkbox"/> Others (specify)_____							
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable	<input type="checkbox"/> NRI Non-Repatriable	<input type="checkbox"/> NRI Repatriable Promoter						
	<input type="checkbox"/> NRI Non-Repatriable Promoter	<input type="checkbox"/> NRI-Depository Receipts	<input type="checkbox"/> Others (specify)_____						
	<input type="checkbox"/> Foreign National								
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National Depository Receipts								
	<input type="checkbox"/> Others (specify)_____								
<input type="checkbox"/> Body Corporate <input type="checkbox"/> Bank <input type="checkbox"/> Trust <input type="checkbox"/> Mutual Fund <input type="checkbox"/> OCB <input type="checkbox"/> FI									
<input type="checkbox"/> CM <input type="checkbox"/> FI <input type="checkbox"/> Clearing House <input type="checkbox"/> Others (Specify)_____									
SEBI Registration No. (if Applicable)		SEBI Regn. Date							
RBI Registration No. (if Applicable)		RBI Approval Date							
Nationality		<input type="checkbox"/> Indian <input type="checkbox"/> Others (specify)_____							

To be filled by the DP

DETAILS OF GUARDIAN (in case the account holder is minor)

Guardian's Name																					
PAN																		Relationship with the applicant			

I/We instruct the DP to receive each and every credit in my/our account (If not marked, the default option would be 'Yes')	[Automatic Credit] <input type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to instruct the DP to accept all the pledge instructions in my/our account without any other further instruction from my/our end (If not marked, the default option would be 'No')	<input type="checkbox"/> Yes <input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly
I/We request you to send Electronic Transaction-cum-Holding Statement at the email ID _____	<input type="checkbox"/> Yes <input type="checkbox"/> No

I/We would like to share the email ID with the RTA	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	--

I/We would like to receive the Annual Report (Tick the applicable box. If not marked the default option would be in Physical)	<input type="checkbox"/> Physical / <input type="checkbox"/> Electronic <input type="checkbox"/> Both Physical and Electronic
--	--

I/We wish to receive dividend / interest directly in to my bank account given below through ECS (if not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input type="checkbox"/> Yes <input type="checkbox"/> No
--	---

CLEARING MEMBER DETAILS (To be filled by CMs only)

Name of Stock Exchange	
Name of CC/CH	
Clearing Member Id	Trading Member ID


BANK DETAILS [Dividend Bank Details] (* 9 digit MICR Code) (# 11 Character)

MICR Code*		IFSC Code#	
Bank A/c No.		Bank A/c Type	<input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Others Pl. Specify
Bank Name		Branch Name	
Bank	City/town/village	PIN Code	
Branch	State	Country	
Address			




- (i) Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or)
- (ii) Photocopy of the Bank Statement having name and address of the BO
- (iii) Photocopy of the Passbook having name and address of the BO, (or)
- (iv) Letter from the Bank.
 - In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document.

OTHER DETAILS

Gross Annual Income Details	Income Range per annum
	<input type="checkbox"/> Up to Rs. 1,00,000 <input type="checkbox"/> Rs. 1,00,001 to Rs. 5,00,000 <input type="checkbox"/> Rs. 5,00,001 to Rs. 10,00,000 <input type="checkbox"/> Rs. 10,00,001 to Rs. 25,00,000 <input type="checkbox"/> Rs. 25,00,001 to 1 Crore <input type="checkbox"/> More than 1 Crore
	Net worth as on (Date) _____ / _____ / 20_____ Rs. _____ [Net worth mandatory in case of Non Individual] [Net worth should not be older than 1 year]
Occupation	<input type="checkbox"/> Private / Public Sector <input type="checkbox"/> Govt. Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculture <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Others (Specify)_____
Please tick, if Applicable	<input type="checkbox"/> Politically Exposed Person (PEP) <input type="checkbox"/> Related to Politically Exposed Person (RPEP)
Any other information	



SMS Alerts Facility	Mobile No.	+	9	1										
Refer to Terms & Conditions given as Annexure 2.4	[(Mandatory , if you are giving Power of Attorney (POA)] (If POA is not granted & you do not wish to avail of this facility, cancel this option).													
Transactions Using Secured Texting Facility (TRUST) Refer to Terms and Conditions Annexure - 2.6	I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I have read and understood the Terms and Conditions prescribed by CDSL for the same. <input type="checkbox"/> Yes <input type="checkbox"/> No I/We wish to register the following clearing member IDs under my/our below mentioned BO ID registered for TRUST													
	Stock Exchange Name / ID			Clearing Member Name				Clearing Member ID (Optional)						
	To register for easi, please visit our website : www.cdslindia.com Easi allows a BO to view his ISIN balances, transactions and value of the portfolio online.													

I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian (in case of Minor)	Second Holder	Third Holder
Name			
Signature			

(Signatures should be preferably in black ink).

I/We have received and read the document of 'Rights and Obligation of BO-DP' (DP-CM agreement for BSE Clearing Member Accounts) including the schedules thereto and the terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	Sole / First Authorised Signatory	Second Authorised Signatory	Third Authorised Signatory
Name			
Designation			
Signature			

(Signatures should be preferably in black ink).

(In case of more authorised signatories, please add annexure)



NOMINATION FORM

RUDRA SHARES & STOCK BROKERS LTD.

(Formerly known as Gigantic Securities Ltd.)
DP : CENTRAL DEPOSITORY SERVICES (INDIA) LIMITED | SEBI REGN. NO. IN-DP-CDSL-518-2009, DP ID - 12061400
 Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092
 Corp. Office : Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (Uttar Pradesh)
 Ph.: +91-512-6711000 (30 Lines), 3913928 • Fax: +91-512-3913929 • Website: www.rudrashares.com

Photo of Nominee
& Signature of
Nominee Across
The Photograph



Nominee Signature

Dear Sir / Madam,

I/We the sole holder/Joint holders / Guardian (in case of minor) hereby declare that:

I/We do not wish to nominate any one for this demat account.

[Strike out what is not applicable] [Signatures of all account holders should be obtained on this form]

I/We nominate the following person who is entitled to receive security balances lying in my/our account, particulars whereof are given below, in the event of the death of the Sole holder or the death of all the Joint Holders.

BO Account Details										
DP ID	1	2	0	6	1	4	0	0	Client ID	
Name of First Holder										
Name of Second Holder										
Name of Third Holder										
Nominee Details										
First Name			Middle Name				Last Name			
Address										
City			State			Country		Pin Code		
Telephone No.					Fax No.					
E-mail ID										
PAN					UID					
Relationship with BO (if any)					Date of birth (if nominee is a minor)					
As the nominee is a minor as on date, I/We appoint following person to act as Guardian :										
First Name			Middle Name				Last Name			
Address										
City			State			Country		Pin Code		
Age										
to receive the securities in this account on behalf of the nominee in the event of the death of the Sole holder / all joint holders. This nomination supersede any prior nomination made by me / us and also any testamentary document executed by me/us.										
Place : _____					Date : _____					

	FIRST HOLDER	SECOND HOLDER	THIRD HOLDER
Name			
Specimen Signature			

Note : 1. Two witnesses shall attest signature(s) Thumb impression(s) 2. In case of joint holders all holders must sign).
 3. Only Individual / Individuals can nominate an individual as nominee.

Details of the Witness (Signature of Two Witness)

	FIRST WITNESS	SECOND WITNESS
Names of Witness		
Address of Witness		
Signature of Witness		

To be filled by DP

For Rudra Shares & Stock Brokers Ltd.

Nomination accepted and registered vide

Registration No. _____ dated _____

(Authorised Signatory)



RUDRA SHARES & STOCK BROKERS LTD.

Annexure 2.4

(Formerly known as Gigantic Securities Ltd.)

DP : CENTRAL DEPOSITORY SERVICES (INDIA) LIMITED | SEBI REGN. NO. IN-DP-CDSL-518-2009, DP ID - 12061400

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

Corp. Office : Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (Uttar Pradesh)

Ph.: +91-512-6711000 (30 Lines), 3913928 • Fax: +91-512-3913929 • Website: www.rudrashares.com

Definitions :

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at Rudra House, 15/63, Civil Lines (adjoining krishna tower) Kanpur - 208 001 (Uttar Pradesh) and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability :

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in

mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts :

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdsindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO



Sole/First Holder Name & Signature



Second Holder Name & Signature



Third Holder Name & Signature

account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.

7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees :

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer :

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity :

The Depository shall not be liable for any breach of confidentiality

by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments :

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction :

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.




I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions mentioned below. I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I / We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

I/We am/ are aware that mere acceptance of the registration form does not imply in any way that the request has been accepted by the depository for providing the service.

I/We provide the following information for the purpose of **REGISTRATION / MODIFICATION** (Please cancel out what is not applicable)

DP ID	1	2	0	6	1	4	0	0	Client ID											
First Holder's Name	: _____																			
Second Holder's Name	: _____																			
Third Holder's Name	: _____																			
Mobile No. on which messages are to be sent	+	9	1																	
(Please write only the mobile number without prefixing country code or zero)																				
The Mobile Number is registered in the name of :	_____																			
E-mail Id:	_____																			
(Please write only ONE valid email Id on which communication; if any, is to be sent)																				
																				
Sole/First Holder Name & Signature	Second Holder Name & Signature																	Third Holder Name & Signature		
IN CASE OF JOINT HOLDERS, ALL JOINT HOLDERS MUST SIGN.																				
* Please do not use correction fluid, all cuttings must be attested by all the joint holder(s).																				
Place:.....																			Date:.....	

CDSL DEPOSITORY CHARGES OF RUDRA SHARES & STOCK BROKERS LTD.

Account Opening Charges	NIL
Stamp Paper Charges	As actual
Advance Deposit against maintenance or Account maintenance	For Individual ,HUF,NRI- Refundable Rs.1500/- for Life Time for POA For Individual ,HUF,NRI- Refundable Rs.1800/- for Life Time for Non POA For Corporate A/c -Refundable Rs. 4000/- for Life time + actual amc charged by CDSL p.a. Rs. 250/- P.A. for POAAccount Rs. 350/- P.A. for Non POA Rs. 750/- P.A. for Corporate Account for POA Rs. 850/- P.A. for Corporate Account for Non POA
Demat	Rs. 2/- per certificate + Rs. 25/- Mailing charges
Remat	Rs. 20/- per case + Rs. 25/- Mailing charges
Transaction charges	For POAaccount minimum Rs. 10/- per transaction NON POA (in our CM) Rs. 15/- per transaction Other CM Rs. 25/- or .02% whichever is higher.
Off Market Charges	Minimum Rs. 20/- or .02% whichever is higher
Pledge Creation	Minimum Rs. 25/- per instruction or .02% whichever is higher
Pledge Creation confirmation	NIL
Pledge Closure	Rs. 25/- per instruction
Pledge Closure confirmation	NIL
Pledge Invocation	Rs. 25/- per instruction
Failed instruction charges	NIL
Modification in CML	Rs. 50/- Per modification including mailing charges
Service Tax & Govt. Levies	As per applicable



.....
First Holder Signature



.....
Second Holder Signature



.....
Third Holder Signature

INTRODUCTION (By an existing account holder)

DP-ID IN	1	2	0	6	1	4	0	0	Client ID										
I confirm the identity and address of the applicant(s) as mentioned below																			
Name																			
Address																			
Signature of Introducer																			

IN PERSON VERIFICATION

Branch Office :	Branch Address :
Staff doing 'In person' Verification	Name : _____ Employee Code : _____ Signature : # _____
Date of in person verification	Place of in person verification : _____
Signature(s) of applicant(s) (Signature in the presence of the DP staff)	
1st Holder	2nd Holder
3rd Holder	

OPTION FORM FOR ISSUE OF DIS BOOKLET

RUDRA SHARES & STOCK BROKERS LTD.

(Formerly known as Gigantic Securities Ltd.)

DP : CENTRAL DEPOSITORY SERVICES (INDIA) LIMITED | SEBI REGN. NO. IN-DP-CDSL-518-2009, DP ID - 12061400

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

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Ph.: +91-512-6711000 (30 Lines), 3913928 • **Fax:** +91-512-3913929 • **Website:** www.rudrashares.com

DP ID	1	2	0	6	1	4	0	0	CLIENT ID										
--------------	----------	----------	----------	----------	----------	----------	----------	----------	------------------	--	--	--	--	--	--	--	--	--	--




Dear Sir / Madam,

I / We hereby state that: [Select one of the options given below]

OPTION 1 :

I / We do not require the Delivery Instruction Slip (DIS) for the time being, since I / We have issued a POA / executed PMS agreement in favour of / with RUDRA SHARES & STOCK BROKERS LTD. (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager. However, the Delivery Instruction Slip (DIS) booklet should be issued to me / us immediately on my / our request at any later date.




Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

OPTION 2 :

I / We require you to issue Delivery Instruction Slip (DIS) booklet to me / us immediately on opening my / our CDSL account though I / we have issued a Power of Attorney (POA) / executed PMS agreement in favour of / with RUDRA SHARES & STOCK BROKERS LTD. (name of the attorney / Clearing Member / PMS manager) for executing delivery instructions for setting stock exchange trades [settlement related transactions] effected through such Clearing Member / by PMS manager.

Yours faithfully

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signatures			

RUNNING ACCOUNT AUTHORISATION

To,

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)**Regd. Office :** 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092**Corp. Office :** Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (U.P.)

Ph.: +91-512-6711000 (30 Lines), 3913928 • Fax : +91-512-3913929 • Website : www.rudrashares.com

I/We have been / shall be dealing through you as my/our broker on the Capital Market and/ or Futures & Options Segments/Currency Derivative Segments, I / we further authorize you to follow these instruction across exchanges & across segments in which I / we have already opened accounts with you or I may open account in future. As my/our broker i.e. agent I/ we direct and authorize you to carry out trading/ dealings on my/our behalf as per instructions given below.

I/am/We are aware that you and I/we have the option to deliver securities/ make payments of funds to each other for settlement of dealings as per the schedule in force at the relevant time pursuant to directives / regulations/ circulars, issued by exchange/ regulatory authorities. However, I/we find it difficult to carry out repeated pay-in of funds and securities. Further, I/we also desire to use my/our securities and monies as margin / collateral without which we cannot deal/trade.

Therefore I /we hereby direct and authorise you to maintain running account(s) for me/us and from time to time debit these securities and funds from running accounts and make pay-in of securities and funds to exchanges/clearing corporations/other receiving party(ies) to settle my/our trades/ dealings. Similarly, where I/we have to receive securities/ funds in settlement of trades/ dealings please keep the securities and monies with you and make credit entries for the same in running accounts of securities and funds maintained by you. Further, the funds & securities can be transferred from one segment to other and from one exchange to another as per the requirements. Further, subject to your discretion and valuation please treat my/our securities and funds lying to my/our credit in running accounts as margin/collateral for my/our dealings/ trading. You are authorize to do these acts across all exchanges & segments in which I / we have been / shall be dealing with you.

In the event I/we have outstanding obligations on the settlement date, you may retain the requisite securities/funds towards such obligations and may also retain the funds expected to be required to meet margin obligations for next 5 trading days, calculated in the manner specified by the exchanges. While settling the account please send a 'statement of accounts' containing an extract from ledger for funds and an extract from the client demat ledger (register of securities) displaying all receipts/deliveries of funds/securities. Please explain in the statement(s) being sent the retention of funds/securities and the details of the pledge, if any. Further, do not carry out settlement of running account referred to above for funds given by me/us towards collaterals/margin in the form of bank guarantee (BG)/Fixed Deposit receipts (FDR).

Please further note that while I am entitled to revoke this authorisation at any time, So this Authorisation will be revoked from the date of physical delivery of revocation letter at your corporate office to allow you to make necessary changes to handle my account without running account authorisation. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above and further agree that you shall not be liable for any claim for loss or profit, or for any consequential, incidental, special or exemplary damages, caused by retention of securities / monies under this agreement.

My/Our preference for actual settlement of funds and securities is at least:

 Once in a Calender Quarter

 Once in a Calender Month

I/We hereby give my consent to member to retain an amount upto Rs. 10,000/- (net amount across all segments and across stock exchanges) for the purpose of settlement of my / our account.

Thanking you,

Yours faithfully,



Client's Signature

Name :

[Note : To be signed by person himself/herself not to be signed by his/her attorney/ authorised person etc.]

DIGITALLY SIGNED ELECTRONIC CONTRACT NOTES / ACCOUNT / LEDGER STATEMENTS

To, Date DDMMYY

RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

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Ph.: +91-512-6711000 (30 Lines), 3913928 • Fax : +91-512-3913929 • Website : www.rudrashares.com

Sub. : Digitally Signed Electronic Contract Notes / Account / Ledger Statements

This is to inform you that I am desirous of receiving contract notes for the dealings carried out by me through electronic mode of delivery and accordingly request you to provide me Digitally Signed Contract Notes through email on my email address provided below.

I further hereby irrevocably authorize and give consent to you to send the following information at my designated email address, as mentioned below and the delivery of information so made shall be effective and deemed delivery to meet the requirement of providing the said information such as the following

- Copy of my executed KYC kit
• Digitally signed contract notes/bills,
• Statement of Accounts / Ledgers
• Statement of Funds and Securities
• Margin statement relating to my account
• Any other important notice, Circular or communications including changes in the terms and conditions of service.

My email ID for above purpose is as follows

My Email ID is (please write clearly)

I understand that any change in the above email ID can be made by you only against a physical letter personally signed by me, or alternatively if the request is made through the password protected secured access as provided on your website.

I also understand that Non-Bouncing of the Electronic Contract Notes (ECN) shall be construed as a valid deemed delivery of the digital contract notes and other electronic documents sent to me, and you shall send physical contract notes to me only in case of any ECN's bounced back to you from my email id.

Failure on my part to check/verify the contract notes on regular basis shall not be a reason for disputing the digital contract note at any time and the payment obligations and transactions and trades shall be adhered to and cleared by me.

Thanking you, Yours faithfully,



Client's Signature

REQUEST FOR SUBSCRIBE SMS ALERT SERVICE

To, Date DDMMYY

RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

Corp. Office : Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (U.P.)

Ph.: +91-512-6711000 (30 Lines), 3913928 • Fax : +91-512-3913929 • Website : www.rudrashares.com

I/We want to subscribe to your SMS Alert service without any obligation on your part, I am fully aware that such Research based SMS services are only for my guidance and doesn't constitute any responsibility of any loss on Rudra.

I/We hereby agree and give my/our consent for sending the trade confirmations via SMS instead of telephonic trade confirmations. For this purpose, my mobile number is mentioned hereunder.

MOBILE No. [input field]

Yours faithfully



Client's SignatureName :

MAINTENANCE OF RUNNING ACCOUNT BASIS / INTER SEGMENT ADJUSTMENTS / RTGS /NEFT PAYOUT FACILITY

To, _____ Date

D	D	M	M	Y	Y	Y	Y
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RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

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1. Maintenance of our/my account on a running account basis.

Notwithstanding anything contrary contained in the agreement between us, I/We hereby request you to maintain my/our account of both securities and funds, with you on a running account basis. This will facilitate me / us. In my/our transactions through you. I/We also request you to consider the balances in my/our running fund and securities account with you for the purpose of margins /any other obligations due to you. In view of the above it would be proper for you to release the funds and securities due to me/ us on my/our specific request, either written or oral. You may charge the cost of holding securities to my our account with you.

2. Authority for adjustments In different Segments / Exchanges

Notwithstanding anything contrary contained in the agreement between us, I/We hereby inform you that I/We have also executed/are to executed, an agreement with Gigantic Securities Limited for trading in the BSE (cash segment), Gigantic Securities Limited for trading on the NSE (cash, F&O, currency segment) and Gigantic Commodities Pvt. Ltd. (for trading in MCX, NCDEX and NMCE exchanges). I/We hereby request to treat the agreement between you and me/us and the agreement entered /to be entered by me/us, with the aforesaid companies as co-extensive and I/We hereby authorize you to transfer, make adjustments and/or to set off a part or whole of the securities placed as margin and/or any surplus funds in any of my account/(s) maintained with you against the outstanding dues payable in any of my/our account/(s) maintained with any of the aforesaid companies or vice versa. You and/or any of the aforesaid companies shall have right to pledge on the credit balance in any of my/our accounts irrespective of whether it is maintained with you or with any of the aforesaid companies and any entries passed by you in accordance with this authorization shall be binding on me/us.

3. Request to RTGS/NEFT payout facility

This is with reference to the Member Client agreement entered into with you for the purpose of dealing in securities on the stock exchange(s) through you under Client Code _____. In the connection, I/we request you to update my/our details in your records as given below

Name of Bank			
Name of Bank Branch			
Account Type	<input type="checkbox"/> Saving	<input type="checkbox"/> Current	<input type="checkbox"/> Others (pls specify)
Account Number			
MICR No.		IFSC Code	

I/We request you to deposit my/our payout in respect of my/our transactions directly to above mentioned bank account (provided such facility is available) or any other bank account which I/We may intimate from time to time.

Terms and conditions: In addition to the clauses contained in the member client agreement and other KYC documents executed to the client, the client herein specifically agrees with the stock broker and authorizes the stock broker to arrange release of payout of funds (subject to other governing conditions agreed to in the KYC documents) by making direct credit to the bank account of the client maintained with any bank, the details of which are provided by the client to the stock broker. It is also agreed to by the clients that since the bank account details are provided by the client (based on which the stock broker where to arrange marking credit thereto) In case of any wrong entry following into any wrong account due to mistake on the part of the client, stock broker shall not accept any responsibility/consequences relating thereto.

Registration and activation of payout of funds through RTGS/NEFT mode by stock broker is subject to receipt of copy of cancelled cheque from client. Rejection/Deactivation of facility of payout of funds through RTGS/NEFT to client is at the discretion of the stock brokers.

Thanking you

Yours faithfully,

Name :

Client Code:



Client's Signature (In case of Non-Individual attest with the Company Seal)

VERBAL ORDER ACCEPTANCE AUTHORISATION

To,

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

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Ph.: +91-512-6711000 (30 Lines), 3913928 • Fax : +91-512-3913929 • Website : www.rudrashares.com

I/We have been / shall be dealing through you as my / our broker on the Capital Market, Mutual Fund and/or Futures & Options Segments / Currency Derivative Segments. This instruction is applicable for all the exchanges / segments in which I / We have opted to open the account with you. As my / our broker i.e. agent I / we direct and authorize you to carry out trading / dealings on my / our behalf as per instructions given below.

As I/We shall be dealing by ordering over phone and even if we visit the branch, the fluctuations in market are so rapid that it is not practical to give written instructions for order placement/modification and cancellation, I/We hereby authorize you to accept my / my authorised representative's verbal instructions for order placement/modification and cancellation in person or over phone (fixed line or mobile phone) and execute the same. I/We understand the risk associated with verbal orders and accept the same, and agree that I/We shall not be entitled to disown orders and consequent trades (if any) under the plea that same were not under mine/our instructions. I/We agree that I/We will not have the right to shift the burden of proof by asking you to prove the placement of orders through telephone recording or otherwise

I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.

Thanking you,
Yours faithfully,



Client's Signature

Name :

AUTHORISATION

To,

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)

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I/We understand that in case, of my/our failure to make the funds pay in or delivery of securities for my/our deals/trading by designated day, you are entitled to sell off in the market the securities received in pay out and/or deem our position closed out at applicable rates; or

I/We do hereby request you not to carry out such sell off in the market the securities received in pay out and/or deem my/our positions closed out and I/We shall in consideration thereof shall pay the opportunity cost/interest on such non sold off securities and/or non closed out position without demur or protest at such opportunity cost and/or interest as you shall determine entirely at your discretion.

I/We do hereby indemnify and agree to compensate for any losses, penalties you may suffer for agreeing to this direction.

Thanking you,
Yours faithfully,



Client's Signature

Name :

PLEDGE AUTHORISATION

To,

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)

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I/we have been / shall be dealing through you as my broker on the Capital Market and/ or Futures & Options Segments/Currency Derivative Segments. This instruction is applicable for all the exchanges / segments in which I / We have opted to open the account with you. As my broker i.e. agent I/we direct and authorize you as under.

Pledge /deposit my/our securities whether deposited as collateral/margin or permitted by us to be retained in the running account etc. by you with any person(s) including but not limited to anyone or more bank(s) and/or stock exchange(s) and/or clearing corporation(s) / clearing house(s) or any other person. You may at your absolute discretion raise funds, obtain bank guarantee(s) and FDRs etc. against such deposit/ pledge. I/ we authorise you to do in my/our name and on my/our behalf all such acts, deeds and things as may be necessary and expedient for placing pledging / depositing my/our securities with a person of your choice. If for any reason the pledgee sells / liquidates these securities I/we shall not dispute or contest the acts of pledgee in any manner what so ever. Please treat this authorization as written ratification of my / our verbal directions / authorizations given and carried out by you earlier. I/We shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my / our directions given above.

Thanking you,

Yours faithfully,



Client's Signature Name :

STANDING INSTRUCTIONS

To,

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

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I/We have been / shall be dealing through you as my broker on the Capital Market and/ or Futures & Options Segments/Currency Derivative Segments. This instruction is applicable for all the exchanges / segments in which I / We have opted to open the account with you. As my broker i.e. agent I / we direct and authorize you to carry out trading / dealings on my/our behalf as per instructions given below.

Since you are issuing contract notes bearing order numbers and trade numbers on a daily basis, please do not issue the order/trade confirmation slips as generated from the Trading Terminal.

The Client hereby authorizes Gigantic to maintain records / books of accounts for the Client collectively for different exchanges/ segments of the exchanges and / or any other service which the Client may be availing.

Thanking you,

Yours faithfully,



Client Signature : Name :

AUTHORISATION FOR LIEN & SET-OFF

(Strike off where inapplicable)

To, Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Name :

Address : Client Code :

To,

(1) **RUDRA SHARES & STOCK BROKERS LTD.** (Formerly known as Gigantic Securities Ltd.)

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

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(2) **GIGANTIC COMMODITIES PVT LTD.**

[Member of National Commodities & Derivatives Exchange Ltd., Multi Commodity Exchange of India Ltd. National Multi Commodity Exchange (NCDEX, MCX, NMCE)]

Regd. Office: 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

Corp. Office : Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (U.P.)

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(Hereinafter jointly referred as 'RUDRA')

Dear Sir,

Sub : Right to Exercise Set-Off / Lien

I/We,, an individual / a sole proprietary concern/ a partnership firm / a body corporate/Trust, registered / incorporated, under the provisions of the Indian Partnership Act, 1932/the Companies Act, 1956 or any relevant Act, having my / our residence / registered office at..... (hereinafter referred to as the "Client").

That I/we, am/are a Client of Rudra Shares & Stock Brokers Ltd. and/or Gigantic Commodities Pvt Ltd. (Jointly referred to as RUDRA) and have executed a separate Member Client Agreement with each of the aforesaid company/ies for investing/trading in securities and/or commodities and/or currencies admitted/permitted for dealing on the respective Exchanges and also for investing/trading in those contracts admitted/permitted for dealing on the Derivatives Market Segment of the respective Exchanges.

That in respect to the aforesaid agreements, I/we hereby authorise RUDRA to exercise the right to set off the balances in my/our accounts with RUDRA in any segment/exchange with respect to monies, securities and/or commodities and/or currencies. All monies, securities or other property, which RUDRA may hold on my/our account, shall be held subject to a general lien for the discharge of my/our obligations to RUDRA under these agreements. The right of lien and set-off conferred to RUDRA by me/us are as follows :

1. All securities in the demat account opened (if any) with the depository Participant (namely Rudra Shares & Stock Brokers Ltd.) shall be subject to lien for the discharge of any or all payments due to RUDRA from me/us or any other obligations to RUDRA and may be held by RUDRA as a security against default by me/us in respect of the services already availed of by me/us from RUDRA.
2. The enforcement of the lien aforementioned shall be at the sole and complete discretion of RUDRA
3. I/We agree that RUDRA shall have the right of set-off amongst all trading account/(s) maintained by me/us with RUDRA.
4. The right of set-off as aforesaid shall extend to my/our trading accounts with respect to all broking transactions with associated concerns, affiliates or sister concerns of RUDRA as though such accounts are maintained with RUDRA.

Furthermore, the aforesaid lien/set-off conferred on RUDRA shall subsist even after the termination of the aforesaid Member - Client Agreements with RUDRA until all obligations under the said agreements are satisfied fully by me/us. The said right of lien/set-off shall be exercised by RUDRA jointly and/or, severally by each of the aforesaid companies.

Thanking you,

Yours truly,



Client's Signature

Name :

AUTHORISATION FOR DEBITING DEMAT ACCOUNT OPERATING CHARGES

To,

Date **RUDRA SHARES & STOCK BROKERS LTD.** (Formerly known as Gigantic Securities Ltd.)**Regd. Office :** 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092**Corp. Office :** Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (U.P.)

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I/We have been/shall be dealing through you as my/our broker on the Capital Market and/or Futures & Options Segment. As my Broker, i.e. agent, I/we authorize you to debit my/our account against the charges raised by you on account of Depository Services Provided by you on account of Depository Services Provided by them and bill raised by them in this regard. The details of Demat account(s) are as follows:

Depository	Depository Participant	Beneficiary Account
CDSL - 12061400	Gigantic Securities Limited	

Thanking you,

Yours faithfully,



Client's Signature

Name :

AUTHORISATION FOR FUND/SECURITEIS TRANSFER/ADJUSTMENT IN OUR FAMILY ACCOUNTS

To,

Date **RUDRA SHARES & STOCK BROKERS LTD.** (Formerly known as Gigantic Securities Ltd.)**Regd. Office :** 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092**Corp. Office :** Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (U.P.)

Ph.: +91-512-6711000 (30 Lines), 3913928 • Fax : +91-512-3913929 • Website : www.rudrashares.com

Sub.: Authorisation for Fund/Securities Transfer/Adjustment in our Family Account.

Dear Sir,

Client Code : _____

Name of Family Member	Client Code

This is with reference to the trading account opened with you, in the name of _____, for the Purpose of dealing in Capital Market/F&O/Currency Derivative Segments of securities on the stock exchange(s)

The above listed my Family members are regularly dealing with you in Capital Market and/or Future & Option Segment and/or Currency Derivative Segments.

I request you to kindly treat above mentioned accounts as FAMILY UNIT for the purpose of settlement of dues and margins.

I hereby give you irrevocable authority, without any pressure of coercion, to transfer funds as well as securities from my account to any of the Family unit account, as stated above to set off the debit balance in their respective accounts, as and when need arise to do so. I will be responsible, severally and or jointly fro any such transfer of securities(ies) and funds(s) from my account to other Family unit accounts.

This authority will also be given to you by other Family Unit account holders as listed above for smooth operations of Family Unit accounts.

Thanking you,

Yours faithfully,



Client's Signature

Name :

ADDENDUM TO THE CLIENT REGISTRATION FORM / KEY INFORMATION

To,

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

RUDRA SHARES & STOCK BROKERS LTD. (Formerly known as Gigantic Securities Ltd.)**Regd. Office :** 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092**Corp. Office :** Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (U.P.)

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In compliance with the provisions of Prevention of Money Laundering Act, 2002 and subsequent circulars issued by SEBI thereto, we hereby obliged to consider it as a part of client registration document: -

PREVENTION OF MONEY LAUNDERING

Prevention of Money Laundering Act, 2002 (herein refer to an "Act") came into affect July 1, 2005 vide notification No. GRE 436(E) dated July 1,2005 issued by Department of Revenue, Ministry of Finance, Govt. of India. Further SEBI vide Circular reference number ISD/CIR/RR/AML/1/06 dated January 18,2006 mandated that all the Stock Brokers should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Client (KYC) policy. SEBI also issued another circular reference number ISD/CIR/RR/AML/2/06 dated March 23,2006 advising all the Stock Broker to take necessary steps to ensure compliance with the requirement of Sec12of the Act inter-alia, maintenance and preservation of records and reporting of information relating to cash and suspicious transactions to Financial Intelligence Unit-India (FIU-IND), New Delhi.

The constituents should ensure that the amount invested in the securities is through legitimate sources only and does not involve and is not designated for the purpose of contravention or evasion of the provision of the Income Tax Act, Prevention of Money Laundering Act, Prevention of corruption Act and/or any other law for the time being in force enacted by Govt. of India from time to time or any rules and regulations, notifications or directions issued there under.

To ensure appropriate identification of the constituents under its KYC policy and with view to monitor the transactions for the prevention of anti money laundering, the company has reserve the right to seek information, record constituents telephonic calls and/or obtained or retained documentation for establishing the identity of the constituents, proof of residence, source of funds, etc. It may re-verify identity and obtain any incomplete or additional information for this purpose.

The constituents or their attorney, if any, shall produce independent source documents, such as photographs, certified copies of ration card/passport/pan card/driving license or such other documents or produce such information as may be required from time to time for verification of the identity, residential address, financial information of the constituents by the company.

If the constituents refuses/fails to produce the required documents and information with in the period specified in the communication sent by company to the constituents, then the company after applying due diligence measures believes that the transaction is suspicious in nature within the purview of the Act and SEBI circulars issued from time to time or on account of deficiencies in the documentation shall have absolute discretion to report suspicious transaction to FIU-IND or to reject the application or to freeze the account of constituent. Thus the KYC documentation shall comply by all the constituents in its true spirit and word.

The Company, its Directors, its Employees and agents shall not be liable in any manner for any claim arising whatsoever on account of freezing of account or on rejection of application etc. due to noncompliance of the provisions of the Act, SEBI circulars and KYC policy and or where company believes that transaction is suspicious in nature within the purview of the Act or SEBI circulars and reporting the same to FIU-IND.

This document form an integral part of the client registration form as addendum or key information memorandum and will be subject to amendments from time to time.



Client's Signature

Name :

FOR PAY-IN OF SECURITIES FOR THE PURPOSE OF SETTLEMENT

I/We _____ hold a Beneficiary account no. _____ (BO-ID)with Central Depository Services (India) Limited, through Rudra Shares & Stock Brokers Ltd. Regd. Office 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092 bearing DP-ID 12061400

And Whereas I/We am/are an investor engaged in buying and selling of securities through Rudra Shares & Stock Brokers Ltd., a member of National Stock Exchange of India Ltd. bearing SEBI Registration No. INB 231333635 / Bombay Stock Exchange Ltd., bearing SEBI Registration No. INB 011327138 respectively.

And Whereas due to exigency and paucity of time, I/We am/are desirous of appointing an agent/attorney to operate the aforesaid beneficiary account on my/our behalf for a limited purpose in the manner hereinafter appearing:

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/WE THE ABOVENAMED DO HEREBY NOMINATE, CONSTITUTE/ AND APPOINT Rudra Shares & Stock Brokers Ltd., as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do instruct the aforesaid Depository Participant to debit securities and/or to transfer securities from the aforesaid account for the purpose of delivering the same to the clearing house of the recognised stock exchange toward any segment in respect of securities sold by me/us through them.

This authority is restricted to the pay-in obligations arising out of the transactions of sale effected by me/us through Rudra Shares & Stock Brokers Ltd., and I/We ratify the instructions given by the aforesaid Clearing Member to the Depository Participant named hereinabove in the manner specified herein.

1100001100017803 NSE-CM SEGMENT
1100001000020710 BSE-CASH SEGMENT

1100002800001331-MCX-SX CASH SEGMENT

I/We further confirm that the powers and authorities conferred by this Power of Attorney shall continue until revoked and this Power of Attorney shall be revocable at any time, without any notice.

Signed and delivered by Client**

Witness

First Holder Signature [Signature Icon]

Signature :

Second Holder Signature [Signature Icon]

Name :

Third Holder Signature [Signature Icon]

Address :

Signed and delivered by Participant

Witness

For Rudra Shares & Stock Brokers Ltd., (DP ID 12061400) of CDSL

Signature :

Name :

Address :

Authorised Signatories

Place :

Date :

* Please stamp & Sign in case of HUF Account
** In case of Joint holding, all joint holders must sign.



FOR PAY-IN OF SECURITIES FOR THE PURPOSE OF SETTLEMENT

I/We _____ hold a Beneficiary account no. _____ (BO-ID)with Central Depository Services (India) Limited, through Rudra Shares & Stock Brokers Ltd. Regd. Office 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092 bearing DP-ID 12061400

And Whereas I/We am/are an investor engaged in buying and selling of securities through Rudra Shares & Stock Brokers Ltd., a member of National Stock Exchange of India Ltd. bearing SEBI Registration No. INB 231333635 / Bombay Stock Exchange Ltd., bearing SEBI Registration No. INB 011327138 respectively.

And Whereas due to exigency and paucity of time, I/We am/are desirous of appointing an agent/attorney to operate the aforesaid beneficiary account on my/our behalf for a limited purpose in the manner hereinafter appearing:

NOW KNOW WE ALL AND THESE PRESENTS WITNESSTH THAT I/WE THE ABOVENAMED DO HEREBY NOMINATE, CONSTITUTE/ AND APPOINT Rudra Shares & Stock Brokers Ltd., as my/our true and lawful attorney (hereinafter referred to as the attorney) for me/us and on my/our behalf and in my/our name to do instruct the aforesaid Depository Participant to debit securities and/or to transfer securities from the aforesaid account for the purpose of delivering the same to the clearing house of the recognised stock exchange toward any segment in respect of securities sold by me/us through them.

This authority is restricted to the pay-in obligations arising out of the transactions of sale effected by me/us through Rudra Shares & Stock Brokers Ltd., and I/We ratify the instructions given by the aforesaid Clearing Member to the Depository Participant named hereinabove in the manner specified herein.

1100001100017803 NSE-CM SEGMENT
1100001000020710 BSE-CASH SEGMENT

1100002800001331-MCX-SX CASH SEGMENT

I/We further confirm that the powers and authorities conferred by this Power of Attorney shall continue until revoked and this Power of Attorney shall be revocable at any time, without any notice.

Signed and delivered by Client**

Witness

First Holder Signature [Signature Icon]

Signature :

Second Holder Signature [Signature Icon]

Name :

Third Holder Signature [Signature Icon]

Address :

Signed and delivered by Participant

Witness

For Rudra Shares & Stock Brokers Ltd., (DP ID 12061400) of CDSL

Signature :

Name :

Address :

Authorised Signatories

Place :

Date :

* Please stamp & Sign in case of HUF Account
** In case of Joint holding, all joint holders must sign.





ACKNOWLEDGEMENT FROM CLIENT

RUDRA SHARES & STOCK BROKERS LTD.

(Formerly known as Gigantic Securities Ltd.)

DP : CENTRAL DEPOSITORY SERVICES (INDIA) LIMITED

SEBI REGN. NO. IN-DP-CDSL-518-2009, DP ID - 12061400

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

Corp. Office : Rudra House, 15/63, Civil Lines (Adjoining Krishna Tower) Kanpur - 208 001 (U.P.)

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SEBI Regn No. :

NSE	Cash	INB231333635
NSE	F&O	INF231333635
NSE	CD	INE231333635
BSE	Cash	INB011327138
BSE	F&O	INF011333632
MCX-SX	Cash	INB261333638
MCX-SX	F&O	INF261333638
MCX-SX	CD	INE261324334

I/We hereby acknowledge the receipt of duly executed copy of KYC FORM, Rights and Obligations, RDD, Policies & Procedures and also the receipt of copy of the document, Rights and Obligations of the Beneficial Owner and Depository Participant, Tariff Sheet of Trading Account & Demat Account & All Other Documents as executed by me/us.

Trading Code _____

Client ID : _____

Name & Signature of Client

	Sole/First Holder / Authorised Signatory	Second Holder / Authorised Signatory	Third Holder / Authorised Signatory
Signature			
Name			

* Please stamp & Sign in case of HUF Account ** In case of Joint holding, all joint holders must sign.

Date:

..... (Please Tear Here)

ACKNOWLEDGEMENT TO CLIENT



RUDRA SHARES & STOCK BROKERS LTD.

(Formerly known as Gigantic Securities Ltd.)

DP : CENTRAL DEPOSITORY SERVICES (INDIA) LIMITED | SEBI REGN. NO. IN-DP-CDSL-518-2009, DP ID - 12061400

Regd. Office : 73, Rajdhani Nikunj Society, I.P. Extn. 94 Patparganj, New Delhi-110092

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Application No.:

Date...../...../20.....

We hereby acknowledge the receipt of the Account Opening Application Form :

Name of the Sole/First Holder	
Name of Second Holder	
Name of Third Holder	

Depository Participant Seal and Signature



..... (Please Tear Here)





RUDRA SHARES & STOCK BROKERS LTD.

(Formerly Known as Gigantic Securities Ltd.)

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REGIONAL OFFICES

GUJARAT :

212, Dheeraj Avenue Opp. Chhadawad
Police Chowki, Near Piramal Garden,
Ambawadi, Ahmedabad-380025
Ph.: +91-79-30615555

RAJASTHAN :

5th Floor, Room No. 505A & 505B, Crystall Mall,
A/3, Sawai Jai Singh Highway,
Khasa Kothi, Bani Park, Jaipur-302016
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UTTAR PRADESH :

Office No. 8 & 9 Laxmi Cinema
Complex Mahmoorganj,
Varanasi-221010
Ph.: +91-542-2360270

UTTRAKHAND :

4, Ground Floor, Shiva Palace
57/19, Rajpur Road
Dehradun-248001
Ph.: +91-135-2650632

MEMBERSHIP



Golden Rules of INVESTING:



Know who you are before you start investing in assets that have risk - don't use the marketplace to find out.

Know why you are buying a particular stock - don't wait until its price goes up or down to think about it.

Take your time - you are investing for the rest of your life.