



RUDRA SHARES & STOCK BROKERS Limited (RSSBL)

Policy for Inactive / Dormant account for DP

Policy for Inactive / Dormant account:

This Policy defines the treatment of Inactive/ Dormant accounts of the clients maintained with the Company.

Definition of Inactive/ Dormant accounts:

In case of Demat account the term Inactive/Dormant account refers to such account wherein no debit transactions have taken place for a continuous period of 12 (twelve) months. , the account will be marked as "INACTIVE / DORMANT".

Debit Transaction in Inactive/Dormant Demat Accounts:

The Demat accounts wherein no debit transaction had taken place for a continuous period of 12(twelve) months shall be flagged as Inactive/Dormant accounts. Additional due diligence would be observed over and above the normal verification procedure while processing any debit transactions in such accounts. The transaction shall also be verified with the BO in case of high value debits and the details of the process, date, time, etc., of the verification on the instruction slip shall be recorded under the signature of a senior official.

Approval Authority:

This policy shall be approved by its Board of Directors; the policy may be reviewed as and when there are any changes introduced by any statutory authority or as and when it is found necessary to change on account of business needs and Risk Management Policy.

Policy Communication:

A copy of this policy shall be made available to all relevant staff/persons such as Compliance Officer / Department in charge of registration of clients.

Disclaimer: The above stated policy may vary depending on various rules, regulations and bye laws as may be prescribed by SEBI, exchanges or any other authority or as per internal policy of RSSBL from time to time. This Policy for dormant accounts is over and above the transaction monitoring in Dormant account as per Anti-Money laundering Policy of the Company.