

RUDRA SHARES & STOCK BROKERS Limited

Policy for circulation of unauthenticated news

As per code of conduct for Stock Broker in SEBI (Stock Brokers and Sub-brokers) Regulations, 1992 and SEBI circular Cir/ISD/1/2011 dated March 23, 2011, Rudra Shares & Stock Brokers Limited implements code of conduct for communicating through various modes of communication. Employees/temporary staff/voluntary workers are prohibited from:

- 1. Circulation of unauthenticated news related to various scrips in blogs/chat forums/e-mail etc.
- 2. Encouraging or circulating rumors or unverified information obtained from client, industry, any trade or any other sources without verification.
- 3. Forwarding any market related news received either in their official mail/personal mail/blog or in any other manner except after the same has been seen and approved by the Compliance Officer.

If an employee fails to do so, he/she shall be deemed to have violated the various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for actions.

Access to Blogs/Chat forums/Messenger sites etc. has been restricted by Rudra Shares & Stock Brokers Limited and access is not allowed.

