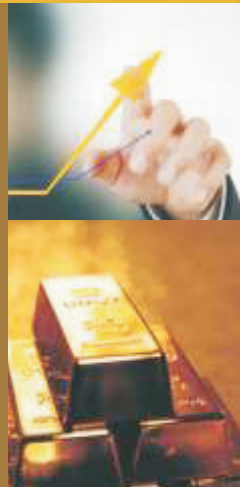




Your Trusted PARTNER



Franchisee Registration Form

Franchisee/Group Name

Client Code Series

City

Group Code Under Franchisee

Franchisee Code

LIST OF DOCUMENTS REQUIRED FOR THE APPLICATION

AUTHORISED PERSON		
NSE	BSE	MCX/NCDEX
PAN CARD	PAN CARD	PAN CARD
ADDRESS PROOF	ADDRESS PROOF	ADDRESS PROOF
EDUCATION PROOF (Min 10th Pass)	EDUCATION PROOF (Min 10th Pass)	EDUCATION PROOF (Min 10th Pass)
Undertaking (on Letter Head)	Undertaking 2B(ii) Annexure 2(A) (on Letter Head)	AP-3 Certified by C.A (on Letter Hea)
		AP-4 (on Letter Head)

REGISTRATION CHARGES SCHEDULE		
(Rs. 2000 Per Segment+GST <small>Applicable</small>)	(Rs. 2000 Per Segment+GST <small>Applicable</small>)	(Rs. 2000 Per Exchange+GST <small>Applicable</small>)

CHECKLIST

(TO BE FILLED UP BY HEAD OFFICE)


No.	Type	Head Office	
		YES	NO
1.	Proof of Identity <input type="checkbox"/> Voter ID <input type="checkbox"/> Passport <input type="checkbox"/> PAN <input type="checkbox"/> Driving License <input type="checkbox"/> Aadhar Card		
2.	How is the identity of the BA verified? <input type="checkbox"/> BA visited the office <input type="checkbox"/> Branch personal visited the BA		
3.	Address Proof (Residential) <input type="checkbox"/> Voter ID <input type="checkbox"/> Driving License <input type="checkbox"/> Passport <input type="checkbox"/> Ration card <input type="checkbox"/> Telephone Bill <input type="checkbox"/> Electricity Bill <input type="checkbox"/> Bank Passbook <input type="checkbox"/> Latest Bank Statement <input type="checkbox"/> Aadhar Card		
4.	Address Proof (Office) <input type="checkbox"/> Voter ID <input type="checkbox"/> Driving License <input type="checkbox"/> Passport <input type="checkbox"/> Ration card <input type="checkbox"/> Telephone Bill <input type="checkbox"/> Electricity Bill <input type="checkbox"/> Bank Passbook <input type="checkbox"/> Latest Bank Statement		
5.	Bank Proof <input type="checkbox"/> Copy of Bank Statement/Pass Book <input type="checkbox"/> A cancelled cheque leaf		
6.	Does address proof match with the residential address given by BA		
7.	Has the address proof of the BA verified		
8.	All the documents collected are verified with the original		
9.	Scan copy of the documents/proofs received		
10.	Form is completed in all respects		
11.	Processing fees received		
12.	Security Deposit received		

(BA refers to Business Associate)



Corporate Office :
 Rudra House, 15/63, Civil Lines,
 Kanpur - 208 001 | Tel: 0512-6711000 - 002
 Email: contactus@rudrashares.com | www.rudrashares.com

RUDRA SHARES & STOCK BROKERS LTD.
 (Member : NSE, BSE, MCX, NCDEX, DP : CDSL)

Photograph
 Sign across
 the Photograph
 (1)

BUSINESS ASSOCIATES REGISTRATION FORM

(A) DETAILS OF BUSINESS ASSOCIATES													
Name													
Trade Name (If any)													
EXCHANGE/SEGMENT	<input type="checkbox"/> NSE CM	<input type="checkbox"/> NSE FO	<input type="checkbox"/> NSE CDS	<input type="checkbox"/> NSE COM	<input type="checkbox"/> BSE CM	<input type="checkbox"/> BSE FO	<input type="checkbox"/> BSE CDS	<input type="checkbox"/> BSE COM	<input type="checkbox"/> MCX	<input type="checkbox"/> NCDEX	<input type="checkbox"/>	<input type="checkbox"/>	
Type of Registration Authorised Person:	<input type="checkbox"/> NSE CM	<input type="checkbox"/> NSE FO	<input type="checkbox"/> NSE CDS	<input type="checkbox"/> NSE COM	<input type="checkbox"/> BSE CM	<input type="checkbox"/> BSE FO	<input type="checkbox"/> BSE CDS	<input type="checkbox"/> BSE COM	<input type="checkbox"/> MCX	<input type="checkbox"/> NCDEX	<input type="checkbox"/>	<input type="checkbox"/>	
Type	<input type="checkbox"/> Individual	<input type="checkbox"/> HUF	<input type="checkbox"/> Proprietorship	<input type="checkbox"/> LLP	<input type="checkbox"/> Company	<input type="checkbox"/> Partnership	<input type="checkbox"/> Others (Pl. Specify) _____						
Date of Birth/Date of Incorp.	D	D	M	M	Y	Y	Y	Y	PAN				
Father's/Spouse Name													

Office Address (PL. ATTACH SELF CERTIFIED COPY)

Address													
City													
State									PIN				
Office Ph. No.									Mobile	0			
E-mail													
Alternate Mob. No.													

Residential Address / Permanent Address PRESENT (PL. ATTACH SELF CERTIFIED COPY)

Address													
City													
State									PIN				

Bank Detail (PL. ATTACH SELF CERTIFIED COPY ALONG WITH CANCEL CHEQUE)

A/c No.													
A/c Type	<input type="checkbox"/> Current						<input type="checkbox"/> Savings						
Bank Name													
Branch Address													
IFSC Code													

OCCUPATION DETAILS (Tick whichever is applicable)

Occupation	<input type="checkbox"/> Employed <input type="checkbox"/> Self Employed <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Housewife <input type="checkbox"/> Others															
IF EMPLOYED/IF SELF EMPLOYED/BUSINESS/PROFESSIONAL/OTHERS																
Name of Employer																
Office Address																
City											Pin Code					
State											Country					
Telephone No.											Fax No.					

Qualification (Please attach proof)															
<input type="checkbox"/> Matriculation <input type="checkbox"/> HSC <input type="checkbox"/> Graduate <input type="checkbox"/> Post Graduate															
<input type="checkbox"/> Other (Please Specify) _____															

Experience																
Capital Market	M	M	Y	Y	Commodity Market :	M	M	Y	Y							
Currency Market	M	M	Y	Y												
Other (Pls Specify)																

Current Client Base																
Retail											HNI					
Corporate											Other Pls. Specify)					

Volume (per day in Cr.) (Expected / Current)																
Delivery (CM)											Intraday (CM)					
Derivative (FO)											Commodities					
Currency																

(B) OPERATIONAL DETAILS

Operation in Charge																
Name													Age			
Edu. Qualification											NISM Certified :	<input type="checkbox"/> Yes <input type="checkbox"/> No				
Experience											Mobile No.					
Telephone No.											Fax					
Email ID																
Number of Staff											Dealer	Others				
Office Space (Mandatory (Pls. attach proof, for all the location										<input type="checkbox"/> Rented <input type="checkbox"/> Owned					Area : _____ Sq.ft.	
Mode of Connectivity	<input type="checkbox"/> Internet															

Signature _____

© COMMERCIAL TERMS & CONDITIONS

Deposit (interest Free)	₹1,00,000/-
Exposure Terms (Limit)	As per Exchange Policy
F&O Margin Cash & Non Cash %	As per Exchange Policy
CTCL License Charges	Charges
Multi Code ID (Application Based)	₹500/Month+GST (Two Segment Allowed)
Single Code ID (Application Based)	₹200/Month+GST
Associate Sharing/Payout Equity	<input type="checkbox"/> Percentage OR <input type="checkbox"/> Fixed
	ASSOCIATE PERCENTAGE SHARING :
	Equity & Currency: * * (%wise)
	Intraday : <input type="text"/> <input type="text"/> <input type="text"/> % Delivery : <input type="text"/> <input type="text"/> <input type="text"/> % Futures : <input type="text"/> <input type="text"/> <input type="text"/> % Minimum Currency : <input type="text"/> <input type="text"/> <input type="text"/> % Per Share : <input type="text"/> <input type="text"/> <input type="text"/> Paise Commodity : <input type="text"/> <input type="text"/> <input type="text"/> % Per Share : <input type="text"/> <input type="text"/> <input type="text"/> Paise
Associate Sharing/Payout Commodity	MCX : <input type="text"/> <input type="text"/> <input type="text"/> % NCDEX : <input type="text"/> <input type="text"/> <input type="text"/> %

Associate Sharing/Payout Equity	FIXED :
	Equity :
	Intraday : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore Delivery : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore Futures : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore Options : <input type="text"/> <input type="text"/> <input type="text"/> Per Lot Currency : <input type="text"/> <input type="text"/> <input type="text"/> Per Lot MPS : <input type="text"/> <input type="text"/> <input type="text"/> Paise Commodity : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore MPS : <input type="text"/> <input type="text"/> <input type="text"/> Paise
Associate Sharing/Payout Commodity	MCX : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore NCDEX : <input type="text"/> <input type="text"/> <input type="text"/> Per Crore

(D) OTHER DETAILS

Previous Broker's Name (Pl. obtain NOC, If any)		
NCFM/BSE/NISM Certificate Details	NSE F&O/BSE F&O	Reg. No. : _____
	Name _____	F'Name : _____
	Validity : ___/___/___	PAN : _____
	NISM/Others	Reg. No. : _____
Name _____	F'Name : _____	
Validity : ___/___/___	PAN : _____	

* Minimum Per Share Brokerage

Signature _____

Fund Payout to Client	On Demand Only																					
Communication (Contact Notes/Ledger/ Reporting/All others)	Quarterly Settlement of Clients: Digital Only																					
A/c Opening Charges	AS PER CURRENT TARIFF APPLICABLE																					
Details of any action taken by SEBI/FMC or any other authority																						
Date of Training (Trading/Back Off. Etc.)												Date of Commencement of Business										
Sign Board Size						Visiting Card Quantity																

APPLICATION, DECLARATION & UNDERTAKING

I/We hereby declare that all the above information and particulars given by me/us in this application are true and fair to the best of my/our knowledge and belief. I/We agree to immediately inform you if there is any change in the information given in this application. I/We also declare and agree that if any of the above statement is found to be incorrect or false or any information or particulars have been suppressed or omitted there from. I/We am/are liable to be debarred from doing business in the Cash/Derivative/Commodity Segments. I/We also agree to furnish such further information as you or the Exchange may require from me/ us from time to time and I/We agree that if I/We fail to give such information, you shall have the right to cancel my/ our registration and you or Exchange shall have the right to debar, me/ us from doing business in the Cash/Derivative/Commodity Segments to the Exchanges. I/We have read and understood the above commercial terms and conditions of RUDRA SHARES & STOCK BROKERS LTD. and I/We agree to abide by the rules and regulations of RUDRA SHARES & STOCK BROKERS LTD. that may be in force from time to time.

Note: Compulsory quarterly settlement of Client as per SEBI norms
Compulsory Cheque of Rs. 1000/- Required at the time of Account Opening.

Introduced by: _____

Mobile																					
E-mail																					

_____  (2)
Date **Name of Business Associates** **Signature**

FOR OFFICE USE ONLY			
Series allotted		B.A. code allotted	
	Name		Signature
Verified by			
Approved by			



RUDRA SHARES & STOCK BROKERS LTD.

(Member : NSE, BSE, MCX, NCDEX, DP : CDSL)

EAST INDIA

Bihar

RUDRA SHARES & STOCK BROKERS LTD
Rudra Shares, 5th Floor Room No. 702
Ashiyana Chamber, Exhibition Road
Patna - 800001 Tel : 0612-2500048
Mob : 06386661725 & 9304834441

West Bengal

RUDRA SHARES & STOCK BROKERS LTD
46, B.B Ganguly Street
1st Floor, Room No. 8
Kolkata -700012 Mob: 8697721878

WEST INDIA

Maharastra

RUDRA SHARES & STOCK BROKERS LTD
B/32 Patel Shopping Center Behind
Raj Mahal Hotel Chandavarkar Road
Borivali West **Mumbai** - 400092 Mob: 9820944966

Gujarat

RUDRA SHARES & STOCK BROKERS LTD
404,407 Ashwamegh Elegance
Bhudarpura Road Hirabaug
Ambawadi, **Ahmedabad** - 380006
Mob: +91 9328950889

NORTH INDIA

Uttarakhand

RUDRA SHARES & STOCK BROKERS LTD
4 Ground Floor, Shiva Palace
57/19 Rajpur Road
Dehradun - 248 001
Mob: .+91 7499185333

Rajasthan

RUDRA SHARES & STOCK BROKERS LTD
Crystal Mall, 203 Second Floor,
Jai Singh Highway, Bani Park
Jaipur - 302016 Mobile: 9664039772

NORTH INDIA

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD
Rudra House, PLOT No.15 16
New Berry Road, **Lucknow** - 226001
(UP) Tel: 0522-4313101 Mob: 7880390011

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD
Office No 8 - 9, Laxmi Cinema Complex
Mahmoorganj, **Varanasi** - 221010
Mob: 8887919788,9936046909
Tel: 0542-2360270

Uttar Pradesh

RUDRA SHARES & STOCK BROKERS LTD
54 Civil Lines, 1st Floor
Ayub Khan Chauraha
Bareilly - 243001 Mob: 8923990446

Corporate Office

Rudra House, 15/63, Civil Lines, Kanpur- 208 001

Ph: +91-512-6711000 (30 Lines)

web : www.rudrashares.com E-mail : contactus@rudrashares.com