



Rudra Comventures Private Limited (RCPL)

Policy for Inactive / Dormant account

Policy for Inactive / Dormant account:

This Policy defines the treatment of Inactive/ Dormant accounts of the clients maintained with the Company.

Definition of Inactive/ Dormant accounts

In case of commodity trading account the term Inactive/Dormant account refers to such Code/Account (Exchange-wise MCX/NCDEX) wherein no transactions have been carried out since last 6 (six) calendar months.

Transaction in Inactive/ Dormant Trading Accounts

Inactive/Dormant accounts identified based on the above criteria shall be flagged as such in RCPL's record. RCPL also reserves the right to freeze/deactivate any account and shall not permit to carry out any fresh transactions in such account. The clients account would be reactivated only after undertaking proper due diligence process and fulfillment of such conditions as may be deemed fit, in the cases where the account has been frozen/deactivated.

The client's specific written request for permission to trade along with completion all required formalities of KYC, if necessary, through letter/registered email ID may be impressed upon to reactivate the Inactive/Dormant account or carry out any fresh transactions in inactive/dormant accounts with same client code i.e., the client code issued earlier by RCPL to concerned client.

Approval Authority:

This policy shall be approved by its Board of Directors; the policy may be reviewed as and when there are any changes introduced by any statutory authority or as and when it is found necessary to change on account of business needs and Risk Management Policy.

Policy Communication:

A copy of this policy shall be made available to all relevant staff/persons such as Compliance Officer / Department in charge of registration of clients, a copy of the policy has to be displayed on our website.

Disclaimer: The above stated policy may vary depending on various rules, regulations and bye laws as may be prescribed by SEBI, exchanges or any other authority or as per internal policy of RCPL from time to time. This Policy for dormant accounts is over and above the transaction monitoring in Dormant account as per Anti-Money laundering Policy of the Company.