

## Disclosure of investor complaints by Rudra Shares & Stock Brokers Limited

Annexure B

Data for every month ending - **OCTOBER - 2023**

S N	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the month**		Average Resolution on time (in days)^
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from investors	0	0	0	0	0	0	0
2	SEBI (SCORES)	0	0	0	0	0	0	0
3	Stock Exchanges	2	0	1	1	0	1	NA (APPL U/P in Kanpur Court)
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>0</b>	<b>1</b>	...

### Trend of monthly disposal of complaints

S N	Month	Carried forward from previous month	Received	Resolved	Pending
1	2	3	4	5	6
1	November-2022	2	0	0	2
2	December-2022	2	0	0	2
3	January-2023	2	0	0	2
4	February-2023	2	0	1	1
5	March-2023	1	0	0	1
6	April-2023	1	0	0	1
7	May-2023	1	0	0	1
8	June-2023	1	0	0	1
9	July-2023	1	0	0	1
10	August-2023	1	0	0	1
11	September-2023	1	1	0	2
12	October-2023	2	0	1	1
	<b>Grand Total</b>		<b>1</b>	<b>2</b>	<b>1</b>

\*Should include complaints of previous months resolved in the current month, if any.

\*\* Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

#### Trend of annual disposal of complaints

S N	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2017-18	0	0	0	0
2	2018-19	0	0	0	0
3	2019-20	0	0	0	0
4	2020-21	0	1	0	1
5	2021-22	1	8	7	2
6	2022-23	2	3	4	1
7	2023-24	1	1	1	1
	<b>Grand Total</b>		<b>13</b>	<b>12</b>	